

Nr.3735 /06.07.2015

To,
Bucharest Stock Exchange
Financial Supervisory Authority
Sector Investment and Financial Instruments

Current report under Regulation no. 1/2006

Report date: 06/07/2015

Name of the company: SSIF Broker SA

Headquarters: Cluj Napoca, no. 119, Motilor street, Cluj County

Nr. tel: 0364.401.709 Fax: 0364.401.710

Number and date of registration at ORC: J12 / 3038/1994

CUI: 6738423, **Capital:** 84,670,466.75 lei

The regulated market on which the securities issued: BSE, premium category

Important events to report: litigation report in accordance with art. 113, pt. A, par. (1), letter j) of the reg. NSC. 1/2006 and . 11/2010.

A. Criminal Cases:

1) File no. 15084/320/2013 - Targu Mures Court

The culprit: Muresan Blasiu Dorin, Achim Ioan Marcel

The injured party: SSIF Broker SA,

Civilly responsible party: SSIF Broker SA,

Amount: 140,000 lei,

Subject: embezzlement cf art. 215 ind. 1 of the CPC.

Deadline 02/07/2015

the court admits the prescription of criminal liability of the defendant Achim Ioan Marcel under the accusation of embezzlement. The appeal within 10 days from notice..

B. DISPUTES THE SSIF BROKER - IS PART - sued

1). File no. 6769/97 / 2011- Commercial Court Cluj-Napoca

Applicant: Fratilescu Fabian Constantin Alexandru

Defendant: SSIF Broker SA

Subject: claims :281,500 lei

The case was suspended and reinstated pending.

The judgment of the Court of Appeal rejected the appeal Cluj was the SSIF Broker SA. The Company will file an appeal.

2). File no. 7076/221/2014 - Court Deva

Applicant: Cotalan Petru and Cotalan Maria,

Defendant: SSIF Broker SA,

Subject: material claims worth 59,500 lei,

At a hearing on May 18, 2015, the court ordered the suspension of the case, given the fact that the applicants have complied with its provisions, to submit a number of documents probation.

3). File no. 2/2015 - Court of Arbitration Cluj Napoca

Applicant: Oprea Andreiu

Defendant: SSIF Broker SA

Subject: material claims worth 322,369 lei,

At the hearing on 16.03.2015 has decided to suspend Case pending judgment by the ICCJ in criminal case no. 6087/97/2009.

4). File no. 1034/90/2014 / a13

Applicant: AMT INSOLVENCY SERVICES

Defendant: SC HARINVEST SA and SSIF BROKER S.A.

Subject: Action for annulment

Deadline 21/10/2015.

5). File nr.2236 / 117/2015

Applicant: Danila Augustin

Defendant: SSIF Broker SA

Subject: Appeal to dissolution of employment contract

Deadline: no deadline was set. SSIF Broker SA will make ask for an injunction

C. DISPUTES THE SSIF BROKER SA IS PART- claimant

1). File nr.6953 / 97/2010-Court Hunedoara

Applicant: SSIF Broker SA

Defendant: Romanian Post National Company

Subject: claims (981,730.01 lei) reopens.

Deadline: 23/09/2015. Time allowed for probation administration.

2). File no. 3883/85/2014 Court Sibiu

Applicant: SSIF Broker SA

Defendant: SC MOARA Cibin SA

Subject: Actions to order to do

Deadline: 30/09/2015. The term of probation was granted to administration

3). File no. Vaslui Court 756/89/2015

Applicant: SSIF Broker SA

Defendant: SC Petal SA Husi

Subject: finding invalidity act

It dismisses the action. It filed for review.

4). File no. 832/90/2015 Court Valcea

Applicant: SSIF Broker SA

Defendant: SC Socom SA, Stefan N. Voinea,

Subject: Action for - transfer ownership

Deadline: 01/10/2015

D. Enforcement

1). Execution File no. 25/2015

Creditor: SSIF Broker S.A.

DEBTOR: Precup Octavian

Amount: 4784.19 Lei (updated) + execution expenses

The file is investigating the bailiff.

President of the BOARD
Mihai Buliga