



Nr. 12/04.01.2019

**In attention to:  
The Bucharest Stock Exchange (BVB)**

## **Current Report**

**in accordance with Regulation No. 596/2014 regarding the market abuse, of the FSA Regulation no.5 / 2018 of FSA on Issuers of Financial Instruments and Market Operations, respectively of Law no. 24 / 2017 on issuers of financial instruments and market operations**

Date: **January 4, 2019**

Issuing entity: **The STK Emergent closed-end investment fund managed by STK Financial SAI S.A.**

Registered office: Romania, 400427 Cluj - Napoca, 29 Heltai Gaspar Street, County of Cluj

Phone and fax: 004 0264 591982

TAX no: 17772595

Trade Registry no: J12/2600/2005

Share capital: RON 913,724

The regulated market on which the issued shares are traded: The Bucharest Stock Exchange

### **Important events to report:**

Following the notification received on January 3, 2019 the Closed-end Fund STK Emergent informs investors of the transaction made by STK Financial Investment Management Company S.A. as manager of the issuer.

Attached the notification received.

**Best regards,**

**PASCU Nicolae,  
President of the Board**

**POLOSAN Georgeta-Cornelia  
Compliance Officer**



**In attention to:  
IFI STK Emergent**

**NOTIFICATION TRANSACTIONS  
As per EU Regulation 596/2014**

<b>1</b>	<b>Details of persons exercising management responsibilities / persons closely related to them</b>	
a)	Name	STK Financial Investment Management Company S.A.
b)	Code LEI	254900S0LJLBPH1NWU21
<b>2</b>	<b>The reason for the notification</b>	
a)	Position / Function	As manager of the issuer.
b)	Initial Notification / Change	Initial
<b>3</b>	<b>Details of the issuer</b>	
a)	Name	STK Emergent Closed Investment Fund/ STK
b)	Code LEI	213800EXFJU7I1E58W87
<b>4</b>	<b>Details on the transaction</b>	
a)	Description of the financial instrument, type of instrument Identification code	Units ISIN: ROFIIN000015
b)	Nature of the transaction	Buying
c)	Price transaction	43.612 Ron / units
d)	Volume transaction	400
e)	Date of transaction	December 31, 2018
f)	Place of transaction	Bucharest Stock Exchange

**Best regards,**

**PASCU Nicolae,  
President of the Board**

**POLOSAN Georgeta-Cornelia  
Compliance Officer**