

To:  
**Financial Supervisory Authority**  
Financial Instruments and Investments Sector

Bucharest Stock Exchange

### CURRENT REPORT

In compliance with the provisions of Law no. 24/2017 on issuers of financial instruments and market operations and Regulation no. 5/2018 on issuers of financial instruments and market operations

**Date of the report:** April 7, 2026

**Name of issuer:** SSIF BRK Financial Group SA

**Headquarters:** Cluj-Napoca, 119 Moșilor Street, Cluj County

**Telephone/fax no:** 0364-401.709/0364-401.710

**Tax Identification Code:** 6738423

**Trade Register no/date:** J12/3038/1994

**Subscribed and paid share capital:** RON 50,614,492.80

**Regulated market where issued securities are traded:** Bucharest Stock Exchange, Premium Tier, market symbol: BRK

**Important events to be reported:** Supplement to the agenda of the Ordinary General Meeting of Shareholders and the Extraordinary General Meeting of Shareholders convened for April 29/30, 2026

#### I. Supplement to the agenda of the Ordinary General Meeting of Shareholders dated April 29/30, 2026

Pursuant to the Resolution dated **March 25, 2026** and the Resolution dated **April 6, 2026**, and considering the request to supplement the agenda of the Ordinary General Meeting of Shareholders convened for April 29/30, 2026, submitted by the shareholder Herling Ileana, holding a number of 33,442,859 shares, representing 9.9111% of the Company's share capital, through requests no. 1126/02.04.2026 and no. 1180/06.04.2026, the Board of Directors of SSIF BRK Financial Group S.A., registered with the Trade Register Office Cluj under no. J1994003038124, sole registration code 6738423, with its registered office in Cluj-Napoca, 119 Moșilor Street (hereinafter referred to as the "Company"), in accordance with the provisions of Art. 117<sup>1</sup> of Law no. 31/1990 on companies, as amended and supplemented, Art. 105 para. (6) of Law no. 24/2017 on issuers of financial instruments and market operations, and Art. 189 of ASF Regulation no. 5/2018 regarding the exercise of certain shareholders' rights within general meetings of companies, as subsequently amended and supplemented, hereby supplements the agenda of the Ordinary General Meeting of Shareholders convened for April 29/30, 2026, with the following items:

6. Approval of the election of two members of the Board of Directors, considering item no. 3 on the agenda of the Extraordinary General Meeting of Shareholders dated April 29/30, 2026. The newly elected directors shall exercise their duties only after obtaining the approval decision issued by the Financial Supervisory Authority.

7. Approval of the Company's remuneration policy, applicable to the members of the Board of Directors, the executive management, as well as other key/critical/other functions, in accordance with the attached proposal.

The Ordinary General Meeting of Shareholders (hereinafter referred to as the "OGMS"), convened in accordance with the applicable legal provisions and the Articles of Association, is scheduled for **April 29, 2026, at 12:00**, at the Company's registered office in Cluj-Napoca, 119 Moșilor Street, Cluj County, for all shareholders registered in the shareholders' register at the end of the day of **April 17, 2026**, established as the reference date. In the event that the statutory quorum or any other validity requirements are not met, the OGMS shall be held on

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**April 30, 2026, at 12:00**, at the same location, with the same agenda, for all shareholders registered in the shareholders' register as of the same reference date.

Thus, the complete agenda of the Ordinary General Meeting of Shareholders shall be as follows:

1. Presentation, discussion and approval of the Company's audited individual and consolidated annual financial statements for the financial year 2025, prepared in accordance with the International Financial Reporting Standards, based on the Board of Directors' Report and the Company's financial auditor's report.
2. Approval of the 2025 annual financial report in accordance with Art. 65<sup>1</sup> of Law no. 24/2017.
3. Approval of the discharge of liability of the Company's directors for the activity carried out during the financial year 2025, based on the reports presented.
4. Establishment and approval of the remuneration of the members of the Board of Directors and of the additional remunerations granted to Board members entrusted with specific functions, for the financial year 2026 and until the first OGMS approving the 2026 financial statements (to be held in 2027).
5. Approval of the remuneration policy for non-executive directors and the Company's executives, in accordance with Art. 106 of Law no. 24/2017 on issuers of financial instruments and market operations, and the mandate of the Board of Directors to carry out the necessary formalities.
6. Approval of the election of two members of the Board of Directors, considering item no. 3 on the agenda of the Extraordinary General Meeting of Shareholders dated April 29/30, 2026. The newly elected directors shall exercise their duties only after obtaining the approval decision issued by the Financial Supervisory Authority.
7. Approval of the Company's remuneration policy, applicable to the members of the Board of Directors, the executive management, as well as other key/critical/other functions, in accordance with the attached proposal.
8. Submission of the remuneration report regarding the Company's management structure for the financial year 2025 to the consultative vote of the OGMS, in accordance with Art. 107 of Law no. 24/2017.
9. Presentation, discussion and approval of the Revenue and Expenditure Budget for the financial year 2026.
10. Approval of the Board of Directors' proposal regarding the allocation of the net profit for the financial year 2025, as follows: allocation to the legal reserve (5%, amounting to RON 339,861) and allocation of the amount of RON 6,457,357 to retained earnings, to be used for covering losses from previous years.
11. Approval of the Company's strategy.
12. Acknowledgement of the termination by law, as of April 27, 2024, of the mandate of Mr. Robert Iulian Dănilă, as member of the Board of Directors and Chairman of the Board of Directors, following the expiry of the 4-year mandate established by the appointment act, which was not renewed, and approval of the registration of the corresponding mention with the Trade Register, respectively his deregistration from the register of persons holding management positions within SSIF BRK Financial Group maintained by the National Trade Register Office.
13. Approval of **May 20, 2026** as the registration date (ex-date: **May 19, 2026**) of the shareholders upon whom the effects of the resolutions adopted by the Ordinary General Meeting of Shareholders shall be reflected, in accordance with Art. 87 para. (1) of Law no. 24/2017.
14. Approval of the authorization of Mr. Sandu Pali, with the possibility of substitution, to carry out all procedures and formalities provided by law for the implementation of the resolutions of the Meeting, to submit and collect documents and to sign for this purpose on behalf of the Company in relation to the Trade Register, the Financial Supervisory Authority, the Bucharest Stock Exchange, as well as other public or private entities.

All shareholders registered in the shareholders' register at the end of the day of **April 17, 2026**, established as the reference date, are entitled to attend and vote at the Ordinary General Meeting of Shareholders.

Access of shareholders entitled to participate in the OGMS is permitted upon simple proof of identity: in the case of individual shareholders, by presenting a valid identity document, and in the case of legal entities or represented individual shareholders, by presenting a special or general power of attorney granted to the individual representing them, together with the representative's identity document. The power of attorney form may be obtained from the Company's headquarters starting with **March 28, 2026, at 17:00**, and from the Company's website ([www.brk.ro](http://www.brk.ro)), being available both in Romanian and English. The special or general power of attorney shall be prepared in three original counterparts (one for the Company, one for the principal, and one for the proxy). Powers of attorney, together with a copy of the identity document or registration certificate and a certificate issued by the Trade Register or any equivalent document issued by a competent authority of the state where the shareholder is legally registered (issued no more than 3 months prior to the publication date of the convening notice), shall be submitted/sent in original to the Company's headquarters or by e-mail no later than **April 27, 2026, at 12:00**, to the address: [office@brk.ro](mailto:office@brk.ro).

In the case of a special power of attorney granted by a shareholder to a credit institution providing custody services, it shall be signed by the shareholder and accompanied by an affidavit issued by the credit institution confirming that:

- the credit institution provides custody services for that shareholder;
- the instructions in the special power of attorney are identical to the instructions in the SWIFT message received by the credit institution for voting on behalf of that shareholder;
- the special power of attorney is signed by the shareholder.

On the date of the meeting, at the entrance to the meeting room, the appointed representative shall submit the original power of attorney, if it was previously sent by e-mail with an embedded electronic signature, together with a copy of their identification documents.

One or more shareholders representing, individually or jointly, at least 5% of the share capital have the right to introduce items on the agenda of the General Meetings.

Proposals regarding the introduction of new items on the agenda, as well as draft resolutions for items included or proposed to be included on the agenda, must be accompanied by copies of the identification documents of the initiators. These refer to identity documents (ID card/passport) for individuals and registration certificates and Trade Register extracts or equivalent documents issued by a competent authority of the state where the shareholder is legally registered (issued no more than 2 months prior to the publication date of the convening notice), indicating the legal representatives of the shareholder legal entities. Documents certifying the capacity as legal representative drawn up in a language other than English shall be accompanied by a translation made by an authorized translator into Romanian or English, the verification of the legal representative capacity shall be made based on the shareholders' list provided by the Central Depository. Proposals shall be submitted to the Company's headquarters no later than **April 14, 2026, at 17:00**, in a sealed envelope clearly marked in capital letters "FOR THE ORDINARY GENERAL MEETING OF SHAREHOLDERS" or sent by e-mail with an embedded electronic signature in accordance with Law no. 455/2001 on electronic signatures, within the same deadline, to [office@brk.ro](mailto:office@brk.ro), mentioning in the subject line "FOR THE ORDINARY GENERAL MEETING OF SHAREHOLDERS".

Shareholders are informed that, for proposals regarding the introduction of new items on the agenda, each proposed item must be accompanied by a justification or a draft resolution proposed for adoption by the General Meeting.

The latest updated version of the proxy forms and voting ballots by correspondence will be available on the Company's website starting with **April 16, 2026, at 17:00**.

Each shareholder has the right to submit written questions to the Board of Directors prior to the date of the General Meeting regarding the items on the agenda, in accordance with Art. 198–199 of ASF Regulation no. 5/2018. The answer is deemed provided if the requested information is published on the Company's website.

Shareholders registered at the reference date have the possibility to vote by correspondence prior to the meeting date by using the voting by correspondence form. The form, together with a copy of the identity document and/or registration certificate and Trade Register extract or any equivalent document issued by a competent authority of the state where the shareholder is legally registered (issued no more than 3 months prior to the publication date of the convening notice), shall be sent to the Company, in original at its headquarters or by e-mail with an extended electronic signature in accordance with Law no. 455/2001, to [office@brk.ro](mailto:office@brk.ro), no later than **April 27, 2026, at 12:00**.

Forms may be completed either in Romanian or English. Shareholders who have voted by proxy or by correspondence may change their initial voting option or voting method, the last vote expressed and registered by **April 27, 2026, at 12:00** being considered valid.

If a shareholder who voted by correspondence attends the General Meeting in person or by representative, the correspondence vote shall be cancelled, and only the vote expressed in person or by representative shall be taken into account.

The voting by correspondence forms, special proxy forms (available in Romanian and English), as well as the documents and informational materials related to the items on the agenda, including draft resolutions, shall be made available to shareholders starting with **March 28, 2026, at 17:00**, on the Company's website ([www.brk.ro](http://www.brk.ro)) and at the Company's headquarters in Cluj-Napoca, 119 Moșilor Street.

Candidates for the position of director shall submit their applications, together with the documents listed below, to the Company's registry in original no later than **April 14, 2026, at 17:00**. The list of candidates and their CVs will be published on the Company's website and may be supplemented and consulted by shareholders. Candidates will be listed on the ballot papers in alphabetical order, and following the secret vote, the first two candidates ranked in descending order of the number of votes obtained shall be declared elected. In case of a tie for the second position, a second voting round shall be held, and the candidate obtaining the highest number of votes shall be declared elected.

For approval by the Financial Supervisory Authority, candidates for the position of director must cumulatively meet all criteria and conditions provided by Law no. 31/1990 and ASF Regulation no. 1/2019. Any shareholder, regardless of their participation in the share capital, as well as the Company's directors, may submit written proposals of candidates (natural persons) for the vacant positions on the Board of Directors of BRK Financial Group S.A., until **April 14, 2026, at 17:00**. Proposals must include the following documents:

- a) The proposal itself, which may indicate a candidate for the position of member of the Board of Directors of BRK Financial Group S.A., signed by an authorized signatory, where applicable
- b) A copy of the identity document, certified for conformity by the holder;
- c) The candidate's Curriculum Vitae, updated, dated and signed, in original (Europass format), specifying relevant education and training, professional experience, including the names of all organizations for which the candidate has worked/is working, the nature and duration of the duties performed, particularly those relevant to the position of director; for positions held in the last 10 years, the description of activities must include details on all delegated responsibilities, internal decision-making powers, and the areas of activity under the candidate's responsibility or in which they were involved; where applicable, the supervisory authority of the entities within which the candidate carried out their activity shall be indicated;
- d) Copies of diplomas and relevant certificates, certified for conformity;
- e) A declaration on own responsibility stating that the candidate meets the legal and statutory requirements to hold the position of director, signed in original;
- f) A declaration on own responsibility completed in accordance with Annex no. 1 to FSA Regulation no. 1/2019;
- g) The criminal record certificate and the tax record certificate, within their legal validity period, or any equivalent document issued by the competent authorities of the country where the candidate has their domicile and/or residence, as well as from the country of origin, if the domicile/residence is in a different state than the country of origin; for persons who have established their residence in Romania for less than 3 years, a criminal record certificate and tax record certificate or equivalent documents issued by the competent authorities of the countries where they previously had their domicile and/or residence shall also be submitted;
- h) A list of persons who can provide references, as well as letters of recommendation regarding the reputation and experience of the evaluated individual, including their contact details;
- i) a declaration on own responsibility regarding conflicts of interest.

The list containing information regarding the name, domicile and professional qualifications of persons proposed for the position of director is available to shareholders and may be consulted and supplemented on the Company's website [www.brk.ro](http://www.brk.ro), in the Investor Relations section.

The Board of Directors recommends that shareholders consult the supporting materials for the OGMS, available on the website [www.brk.ro](http://www.brk.ro) starting with the date of publication of the convening notice in the Official Gazette of Romania, Part IV.

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## II. Supplement to the agenda of the Extraordinary General Meeting of Shareholders dated April 29/30, 2026

Pursuant to the Resolution dated **March 25, 2026** and the Resolution dated **April 6, 2026**, and considering the request to supplement the agenda of the Extraordinary General Meeting of Shareholders convened for April 29/30, 2026, submitted by the shareholder Herling Ileana, holding 33,442,859 shares, representing 9.9111% of the Company's share capital, through requests no. 1126/02.04.2026 and no. 1180/06.04.2026, the Board of Directors of SSIF BRK Financial Group S.A., registered with the Trade Register Office Cluj under no. J1994003038124, sole registration code 6738423, with its registered office in Cluj-Napoca, 119 Moșilor Street (hereinafter referred to as the "Company"), in accordance with the provisions of Art. 117<sup>1</sup> of Law no. 31/1990 on companies, as amended and supplemented, Art. 105 para. (6) of Law no. 24/2017 on issuers of financial instruments and market operations, and Art. 189 of ASF Regulation no. 5/2018 regarding the exercise of certain shareholders' rights within general meetings of companies, as subsequently amended and supplemented, hereby supplements the agenda of the Extraordinary General Meeting of Shareholders convened for **April 29/30, 2026**, with the following items:

3. Approval of the amendment of the Company's Articles of Association, in accordance with the proposals detailed in the attached document.

The Extraordinary General Meeting of Shareholders (hereinafter referred to as the "EGMS"), convened in accordance with the applicable legal provisions and the Articles of Association, is scheduled for **April 29, 2026, at 10:00**, at the Company's registered office in Cluj-Napoca, 119 Moșilor Street, Cluj County, for all shareholders registered in the shareholders' register at the end of the day of **April 17, 2026**, established as the reference date. In the event that the statutory quorum or any other validity requirements are not met, the EGMS shall be held on **April 30, 2026, at 10:00**, at the same location, with the same agenda, for all shareholders registered in the shareholders' register as of the same reference date.

Thus, the complete agenda of the Extraordinary General Meeting of Shareholders shall be as follows:

1. Approval of the implementation of an "equity stock option plan" (ESOP) from the Company's own shares, to be granted to directors, managers and employees of the Company, in an amount of up to 20% of the net profit recorded at the end of the 2026 financial year. The Board of Directors shall be empowered to approve the ESOP structure, to adopt all necessary measures and to carry out all formalities required for the approval and implementation of the ESOP, including, but not limited to: (i) determining the criteria based on which shares will be granted to directors and employees; (ii) determining the positions within the organizational chart eligible for the program; (iii) establishing the conditions for acquiring shares; (iv) preparing and publishing the information documents in accordance with the law, etc. The allocation criteria and the value of shares granted to the members of the Board of Directors shall be subject to approval by the next General Meeting of Shareholders.

2. Approval of a share buyback operation for a maximum number of 33,000,000 treasury shares, in compliance with applicable legal provisions and having the following main characteristics:

a) The Company shall repurchase shares in order to fulfill its legal obligations for settlement in financial instruments within "equity stock option plan" (ESOP) programs, for the distribution of shares to employees, directors and managers of the Company.

b) The repurchase of shares, up to a maximum of 33,000,000 shares, shall be carried out on the market where the shares are listed and/or through public tender offers. The purpose of the buyback is allocation within the ESOP program. If the number of repurchased shares exceeds the volume required for the ESOP, the EGMS shall decide on their alternative use, including but not limited to cancellation, use in a subsequent ESOP, etc.

c) Minimum price per share: RON 0.1

d) Maximum price per share: RON 0.2

e) The buyback operation shall be carried out over a maximum period of 12 months from the date of publication of this resolution

f) The implementation of the buyback operation shall be made from the Company's own funds, in accordance with applicable legal provisions

3. Approval of the amendment of the Company's Articles of Association, in accordance with the proposals detailed in the attached document.

4. Authorization of the Board of Directors to adopt all necessary decisions for the implementation of the resolution regarding the share buyback operation, including but not limited to: establishing the acquisition methods and execution of each component of the operation, completing all stages and formalities required for implementation, adopting the necessary measures regarding the application of allocation criteria, determining beneficiaries and the number of rights/options to acquire shares, setting the exercise period of such rights, preparing and publishing information documents in accordance with the law.

5. Approval of **May 20, 2026** as the registration date (ex-date: **May 19, 2026**) of the shareholders upon whom the effects of the resolutions adopted by the Extraordinary General Meeting of Shareholders shall be reflected, in accordance with Art. 87 para. (1) of Law no. 24/2017.

6. Approval of the authorization of Mr. Sandu Pali, with the possibility of substitution, to carry out all procedures and formalities provided by law for the implementation of the resolutions of the Meeting, including for signing the Articles of Association, to submit and collect documents and to sign for this purpose on behalf of the Company in relation to the Trade Register, the Financial Supervisory Authority, the Bucharest Stock Exchange, as well as other public or private entities.

All shareholders registered in the shareholders' register at the end of the day of **April 17, 2026**, established as the reference date, are entitled to attend and vote at the Extraordinary General Meeting of Shareholders.

Access of shareholders entitled to participate in the EGMS is permitted upon simple proof of identity: in the case of individual shareholders, by presenting a valid identity document, and in the case of legal entities or represented individual shareholders, by presenting a special or general power of attorney granted to the individual representing them, together with the representative's identity document. The power of attorney form may be obtained from the Company's headquarters starting with **March 28, 2026, at 17:00**, and from the Company's website ([www.brk.ro](http://www.brk.ro)), being available both in Romanian and English. The special or general power of attorney shall be prepared in three original counterparts (one for the Company, one for the principal, and one for the proxy). Powers of attorney, together with a copy of the identity document or registration certificate and a certificate issued by the Trade Register or any equivalent document issued by a competent authority of the state where the shareholder is legally registered (issued no more than 3 months prior to the publication date of the convening notice), shall be submitted/sent in original to the Company's headquarters or by e-mail no later than **April 27, 2026, at 10:00**, to the address: [office@brk.ro](mailto:office@brk.ro).

In the case of a special power of attorney granted by a shareholder to a credit institution providing custody services, it shall be signed by the shareholder and accompanied by an affidavit issued by the credit institution confirming that:

- the credit institution provides custody services for that shareholder;
- the instructions in the special power of attorney are identical to the instructions in the SWIFT message received by the credit institution for voting on behalf of that shareholder;
- the special power of attorney is signed by the shareholder.

On the date of the meeting, at the entrance to the meeting room, the appointed representative shall submit the original power of attorney, if it was previously sent by e-mail with an embedded electronic signature, together with a copy of their identification documents.

One or more shareholders representing, individually or jointly, at least 5% of the share capital have the right to introduce items on the agenda of the General Meetings.

Proposals regarding the introduction of new items on the agenda, as well as draft resolutions for items included or proposed to be included on the agenda, must be accompanied by copies of the identification documents of the initiators. These refer to identity documents (ID card/passport) for individuals and registration certificates and Trade Register extracts or equivalent documents issued by a competent authority of the state where the shareholder is legally registered (issued no more than 2 months prior to the publication date of the convening notice), indicating the legal representatives of the shareholder legal entities. Documents certifying the capacity as legal representative drawn up in a language other than English shall be accompanied by a translation made by an authorized translator into Romanian or English, the verification of the legal representative capacity shall be made based on the shareholders' list provided by the Central Depository.

Proposals shall be submitted to the Company's headquarters no later than **April 14, 2026, at 17:00**, in a sealed envelope clearly marked in capital letters "FOR THE EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS" or sent by e-mail with an embedded electronic signature in accordance with Law no. 455/2001 on electronic signatures, within the same deadline, to [office@brk.ro](mailto:office@brk.ro), mentioning in the subject line "FOR THE EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS".

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Each shareholder has the right to submit written questions to the Board of Directors prior to the date of the General Meeting regarding the items on the agenda, in accordance with Art. 198–199 of ASF Regulation no. 5/2018. The answer is deemed provided if the requested information is published on the Company's website.

Shareholders registered at the reference date have the possibility to vote by correspondence prior to the meeting date by using the voting by correspondence form. The form, together with a copy of the identity document and/or registration certificate and Trade Register extract or any equivalent document issued by a competent authority of the state where the shareholder is legally registered (issued no more than 3 months prior to the publication date of the convening notice), shall be sent to the Company, in original at its headquarters or by e-mail with an extended electronic signature in accordance with Law no. 455/2001, to **office@brk.ro**, no later than **April 27, 2026, at 10:00**. Forms may be completed either in Romanian or English. Shareholders who have voted by proxy or by correspondence may change their initial voting option or voting method, the last vote expressed and registered by **April 27, 2026, at 10:00** being considered valid.

If a shareholder who voted by correspondence attends the General Meeting in person or by representative, the correspondence vote shall be cancelled, and only the vote expressed in person or by representative shall be taken into account.

The voting by correspondence forms, special proxy forms (available in Romanian and English), as well as the documents and informational materials related to the items on the agenda, including draft resolutions, shall be made available to shareholders starting with **March 28, 2026, at 17:00**, on the Company's website ([www.brk.ro](http://www.brk.ro)) and at the Company's headquarters in Cluj-Napoca, 119 Moșilor Street.

The Board of Directors recommends that shareholders consult the supporting materials for the EGMS, available on the website [www.brk.ro](http://www.brk.ro) starting with the date of publication of the convening notice in the Official Gazette of Romania, Part IV.

**Sandu Pali**  
Deputy General Manager