

To

Financial Supervisory Authority
Financial Instruments and Investments Sector

Bucharest Stock Exchange**CURRENT REPORT**

pursuant to Law No. 24/2017 on issuers of financial instruments and market operations
and Regulation No. 5/2018 on issuers of financial instruments and market operations

Report date: 28 October 2026**Company name:** SSIF BRK Financial Group S.A.**Registered office:** Cluj-Napoca, 119 Calea Moșilor, Cluj County**Tel./fax:** 0364.401.709/0364.401.710**Trade Register registration number and date:** 1994003038124**Tax identification number:** 6738423; Share capital: RON 50,614,492.80**Regulated market on which the issued securities are traded:** Bucharest Stock Exchange (BVB), Premium Category, trading symbol BRK**Significant events to be reported:****A. Proceedings of the Ordinary General Meeting of Shareholders held on 28 October 2026, on first call; Resolutions adopted.**

The Ordinary General Meeting of Shareholders of BRK Financial Group S.A. was held on first call on 28 October 2026, at 09:00, at the registered office of BRK Financial Group S.A., 119 Calea Moșilor, Cluj-Napoca. In accordance with the Notice of Meeting, persons holding shareholder status on the reference date of 16 October 2026 were entitled to attend and vote.

The meeting was attended by shareholders holding 90,248,403 voting shares, representing 27.2055% of the total number of voting shares in the share capital of BRK Financial Group S.A.

All items on the agenda were discussed at the Ordinary General Meeting of Shareholders, and the shareholders adopted the following resolutions:

Resolution 1

The General Meeting acknowledges and confirms that the term of office of Mr. Constantin Sorin-George as a member of the Board of Directors of the Company shall terminate by operation of law with effect from 30 November 2026, following the expiry of his term on 29 November 2026 and its non-renewal. The completion of all formalities necessary to register the corresponding change with the Trade Register Office and to notify the Financial Supervisory Authority is hereby approved.

Resolution 2

The amendment to the Remuneration Policy, in the form presented to the shareholders and set out in Annex No. 1, which forms an integral part of this resolution, is hereby approved.

Resolution 3

The designation of 17 November 2026 as the registration date and 16 November 2026 as the ex-date, for the purpose of identifying the shareholders to whom the effects of the OGMS resolutions apply, is hereby approved in accordance with Article 87(1) of Law No. 24/2017.

Resolution 4

Mr. Ovidiu Dumitrescu is hereby authorized, with the power of substitution, to carry out all procedures and formalities required by law for the implementation of the OGMS resolutions, including filing, collecting and signing documents on behalf of the Company in its dealings with the Financial Supervisory Authority, the Bucharest Stock Exchange, the Trade Register Office and any other public or private entities.

B. Proceedings of the Extraordinary General Meeting of Shareholders held on 28 October 2026, on first call; Resolutions adopted.

The Extraordinary General Meeting of Shareholders of BRK Financial Group S.A. was held on first call on 28 October 2026, at 09:30, at the registered office of BRK Financial Group S.A., 119 Calea Moșilor, Cluj-Napoca. In accordance with the Notice of Meeting, persons holding shareholder status on the reference date of 16 October 2026 were entitled to attend and vote.

The meeting was attended by shareholders holding 90,248,403 voting shares, representing 27.2055% of the total number of voting shares in the share capital of BRK Financial Group S.A.

All items on the agenda were discussed at the Extraordinary General Meeting of Shareholders, and the shareholders adopted the following resolutions:

Resolution 1

The closure of the Bucharest Branch of BRK FINANCIAL GROUP S.A., located at 39–41 Nicolae Filipescu Street, 1st Floor, Section No. 4, Sector 2, Bucharest, is hereby approved, resulting in the cessation of its operations as a secondary establishment and the removal of the corresponding entries from the Trade Register and the records of the Financial Supervisory Authority. The resolution to close the Bucharest Branch shall take effect on the date on which the Financial Supervisory Authority authorizes the relocation of the Company's registered office to the same address, subject to the approval and authorization of the transaction referred to in item 3 of the agenda.

Resolution 2

The relocation of the registered office of BRK FINANCIAL GROUP S.A. from 119 Moșilor Street, Cluj-Napoca, Cluj County, to 39–41 Nicolae Filipescu Street, 1st Floor, Section No. 4, Sector 2, Bucharest, where the Bucharest Branch currently operates, is hereby approved. The relocation of the registered office shall take effect only after the prior authorization of the Financial Supervisory Authority has been obtained and the corresponding change has been registered with the Trade Register Office, subject to the approval and authorization of the transaction referred to in item 3 of the agenda.

Resolution 3

The establishment, at the Company's current registered office at 119 Moșilor Street, Cluj-Napoca, Cluj County, of a secondary establishment named "BRK FINANCIAL GROUP S.A. – Cluj Branch", without separate legal personality, is hereby approved. It shall provide the investment services and activities and ancillary services established and authorized in accordance with the law. The establishment of the Cluj Branch shall take effect after authorization by the Financial Supervisory Authority and after registration of the relocation of the Company's registered office to the Bucharest address specified in item 2 of the agenda. The Board of Directors is hereby authorized to determine the organizational and operational structure of the Cluj Branch, the activities carried out through it, and the person or persons designated to manage and represent the branch, in compliance with the applicable legal and authorization requirements.

Resolution 4

The amendment of Article 3 of the Articles of Association of BRK FINANCIAL GROUP S.A. is hereby approved, and the article shall read as follows:

"Article 3 – Registered Office. Secondary Establishments

1. BRK FINANCIAL GROUP S.A. has its registered office at 39–41 Nicolae Filipescu Street, 1st Floor, Section No. 4, Sector 2, Bucharest. The registered office may be relocated to another location in Romania pursuant to a resolution of the Extraordinary General Meeting of Shareholders.
2. BRK FINANCIAL GROUP S.A. may establish or close secondary establishments in the form of "branches" or "agencies", in Romania or abroad, in accordance with the law and pursuant to a resolution of the Board of Directors.

3. The names of the Company's branches and agencies in Romania and abroad shall be amended accordingly in the event of a change in the Company's name.

BRK FINANCIAL GROUP S.A. has the following secondary establishments:

- a) BRK FINANCIAL GROUP S.A. – Cluj Branch, with its registered address at 119 Moșilor Street, Cluj-Napoca, Cluj County;
- b) BRK FINANCIAL GROUP S.A. – Timișoara Agency, with its registered address at 9 Gheorghe Lazăr Street, Unit HQE 307, 3rd Floor, Building E, Timișoara, Timiș County.”

The amendments to Article 3 of the Articles of Association shall take effect subject to compliance with the authorization and registration requirements set out in Resolutions 1–3.

Resolution 5

The updating of the Company's Articles of Association in accordance with the resolutions adopted is hereby approved, and the Board of Directors is authorized to make any drafting or formal adjustments requested by the Financial Supervisory Authority or the Trade Register Office, without altering the substance of the EGMS resolutions.

Resolution 6

The designation of 17 November 2026 as the registration date and 16 November 2026 as the ex-date, for the purpose of identifying the shareholders to whom the effects of the EGMS resolutions apply, is hereby approved in accordance with Article 87(1) of Law No. 24/2017.

Resolution 7

Mr. Ovidiu Dumitrescu is hereby authorized, with the power of substitution, to carry out all procedures and formalities required by law for the implementation of the EGMS resolutions, including signing the updated Articles of Association, filing and collecting documents, and representing the Company in its dealings with the Financial Supervisory Authority, the Trade Register Office, the Bucharest Stock Exchange, the Central Depository and any other public or private entities.

Ovidiu Dumitrescu
Deputy General Manager